

Week 6

Robert

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# 1 Final topologies

The final topology is the dual<sup>1</sup> notion of *initial topology*. With the initial topology, we have a family of maps with a common domain  $X$ , and we want to topologize  $X$  in a way that makes all the maps continuous. With the final topology, we have a family of maps with a common *codomain*  $Y$  instead, and we would like to topologize  $Y$  in a way that makes all the maps continuous.

**Definition 1.1 (Final Topology).** Let  $Y$  be a set and let  $\{X_\alpha : \alpha \in \Lambda\}$  be a collection of topological spaces. Let

$$\mathcal{F} = \{f_\alpha : X_\alpha \rightarrow Y : \alpha \in \Lambda\}$$

be a family of functions. Then the **final topology of  $\mathcal{F}$**  is defined to be

$$\{U \subseteq Y : f_\alpha^{-1}(U) \text{ is open in } X_\alpha \text{ for all } \alpha \in \Lambda\}.$$

In a sense, we are interested in providing  $Y$  with a topology that makes all the  $f_\alpha$ 's continuous. Notice here that  $Y$  is the codomain of our  $f_\alpha$ 's.

For reference, here is the definition of initial topology.

**Definition 1.2 (Initial topology).** Let  $X$  be a set, and let  $\{Y_\alpha : \alpha \in \Lambda\}$  be a collection of topological spaces. Let

$$\mathcal{F} = \{f_\alpha : X \rightarrow Y_\alpha : \alpha \in \Lambda\}$$

be a family of functions. Then the **initial topology of  $\mathcal{F}$**  is defined to be

$$\bigcap \{ \tau : \tau \text{ is a topology on } X \text{ and every element of } \mathcal{F} \text{ is } \tau\text{-continuous} \}.$$

**Proposition 1.3.** *The final topology of  $\mathcal{F}$  is the finest topology on  $Y$  where all the elements of  $\mathcal{F}$  are continuous.*

*Proof.* Denote the final topology with  $\tau_{\mathcal{F}}$ . Suppose  $\tau$  is a topology that makes all the  $f_\alpha$ 's continuous. Then  $\tau \subseteq \tau_{\mathcal{F}}$ . To see this, let  $U \in \tau$ . Then for every  $\alpha$ , we have  $f_\alpha^{-1}(U)$  being open in  $X_\alpha$ , as  $f_\alpha$  is  $\tau$  continuous. This means  $U \in \tau_{\mathcal{F}}$ .  $\square$

We can now see an application of final topologies.

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<sup>1</sup>In this case, the duality is actually the categorical duality!

## 2 Quotient topology

**Definition 2.1 (Quotient topology).** Let  $X$  be a topological space and  $Y$  be a set. Let  $q : X \rightarrow Y$  be a surjective function. Then the final topology of  $\{q\}$  is called the *quotient topology induced by  $q$* .

If  $Y$  is a topological space, then  $Y$  is a *quotient of  $X$*  if the topology on  $Y$  is the quotient topology induced by some surjective function  $q : X \rightarrow Y$ .

Again, keep in mind here that  $Y$  is being topologized by the final topology induced by  $q$ . One (relatively immediate) observation is that a set  $O \subseteq Y$  is open in the quotient topology on  $Y$  if and only if  $q^{-1}(O)$  is open in  $X$ . In fact, this is an alternative way to define the quotient map.

We often use the quotient topology to put a topology on the set of equivalence classes. Let us recall the definition of a equivalence relation.

### 2.1 Equivalence relations

**Definition 2.2 (Equivalence relation).** Let  $X$  be a set. Then an *equivalence relation  $\sim$  on  $X$*  is a relation such that

1. **(Reflexive)**  $x \sim x$ ,
2. **(Symmetric)** if  $x \sim y$  then  $y \sim x$ ,
3. **(Transitive)** if  $x \sim y$  and  $y \sim z$  then  $x \sim z$ .

The intuition here is that equivalence relations try to capture the notion of equality. In fact,  $=$  is an equivalence relation. More examples of equivalence relations are  $n \sim m$  iff  $n \bmod k = m \bmod k$  (here,  $n, m \in \mathbb{Z}$  and  $k \in \mathbb{N}, k > 0$ ).

Given an equivalence relation on  $X$ , we can *partition*<sup>2</sup> the set  $X$  into *equivalence classes*. We define

$$[x]_{\sim} = \{y \in X : y \sim x\}.$$

Notice that we now have the following properties:

**Lemma 2.3 (Properties of equivalence relations).** Let  $X$  be a set and  $\sim$  be an equivalence relation on  $X$ . Then,

1.  $X = \bigcup_{x \in X} [x]_{\sim}$ ,
2. *Equivalence classes are equal or disjoint: If  $[x]_{\sim} \neq [y]_{\sim}$ , then  $[x]_{\sim} \cap [y]_{\sim} = \emptyset$ .*

*Proof.* The first is obvious. For the second, we prove the contrapositive. Suppose  $z \in [x]_{\sim} \cap [y]_{\sim}$ . Then  $z \sim x$  and  $z \sim y$  by definition. By transitivity we have  $x \sim y$ , and by transitivity again, every element related to  $y$  is also related to  $x$ .  $\square$

Given an equivalence relation  $\sim$  on  $X$ , we denote the set of equivalence classes,

$$X_{\sim} = X / \sim = \{[x]_{\sim} : x \in X\}.$$

There is a canonical surjective function<sup>3</sup> from  $X$  to  $X_{\sim}$  which sends an element  $x \in X$  to its equivalence class  $[x]_{\sim}$ . We shall denote it by  $p_{\sim}$ , and it is defined as

$$p_{\sim}(x) = [x]_{\sim}.$$

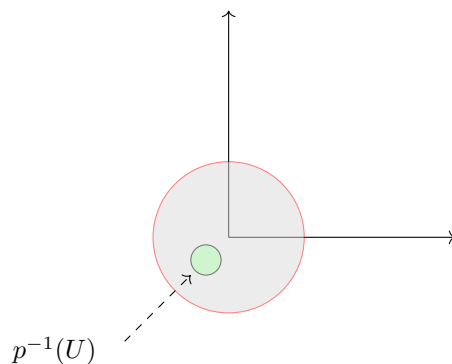
### 2.2 Examples of quotient spaces

We can now see some examples of quotient spaces. The reader is encouraged to check out [Lee11, pp. 62–68] for many more examples of quotient spaces.

**Example 2.4 (The sphere  $S^2$  as a quotient space).** Let  $D \subseteq \mathbb{R}^2$  be the unit disk, i.e.  $D = \{\langle x, y \rangle : x^2 + y^2 \leq 1\}$ .

<sup>2</sup>Note that the word "partition" has a rigorous definition.

<sup>3</sup>Some authors call this the natural projection.

Figure 1: Unit disk  $D \subseteq \mathbb{R}^2$ 

Define  $\sim$  on  $D$  by

$$\langle x, y \rangle \sim \langle z, w \rangle \text{ iff } \langle x, y \rangle = \langle z, w \rangle \text{ or } x^2 + y^2 = z^2 + w^2 = 1.$$

Intuitively, every point in the interior of  $D$  (the interior is shaded in gray) stays distinct, and every point on the boundary (colored in blue) is the "same" under  $\sim$ . Now, the set of equivalence classes of  $D$ ,  $D/\sim$  can be visualized as in Figure 2.

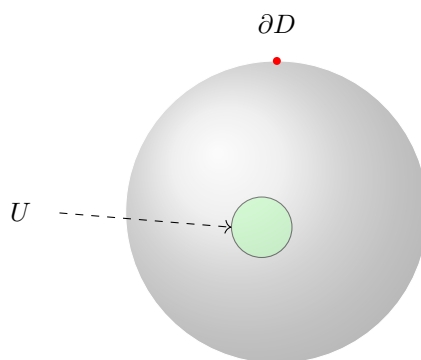


Figure 2: The sphere constructed from the unit disk

**Example 2.5** (Torus as a quotient space). See [Lee11, Example 3.49 on p. 66].

One might wonder whether quotient spaces always come from some kind of equivalence relation. The answer is yes.

**Theorem 2.6** (Every quotient topology is induced by an equivalence relation). If  $Y$  is a quotient space of  $X$ , then there is an equivalence relation  $\sim$  on  $X$  such that  $Y$  is homeomorphic to  $X_\sim$  (endowed with the quotient topology induced by  $p_\sim$ ).

Before we embark on the proof, readers who have had a little group theory will realize that this is basically quotienting by the kernel of a homomorphism. It turns out that this construction is valid in a lot of (concrete) categories as well

*Proof.* We would like to show that if  $Y$  is such that there exists some surjective function  $q : X \rightarrow Y$  where the topology of  $Y$  is the quotient topology induced by  $q$  then there exists an equivalence relation on  $X$  such that  $X_\sim$  is homeomorphic to  $Y$ . We first show the existence of such an equivalence relation. Let  $\sim$  in  $X$  be defined as follows:  $x \sim y$  if and only if  $q(x) = q(y)$ . This is easily seen to be an equivalence relation.

Now we begin constructing the homeomorphism. Let  $f : X_\sim \rightarrow Y$  be defined by  $f([x]_\sim) = q(x)$ . Then  $f$  is a well-defined function, if we have  $[x]_\sim = [x']_\sim$ , then  $f(x') = q(x') = q(x) = f(x)$  by definition of  $\sim$ . We also check that  $f$  is a bijection by finding its inverse,  $f^{-1} : Y \rightarrow X_\sim$ . We'll just write it down:

$$f^{-1}(y) = \{x \in X : q(x) = y\}.$$

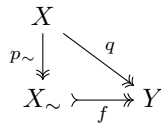


Figure 3: Commutative diagram expressing the proof of Theorem 2.6

This is indeed an inverse. So  $f$  is a bijection. All that is left is to show that  $f$  and  $f^{-1}$  are continuous. Let  $U \subseteq Y$  be open. Then

$$f^{-1}(U) = \{ [x]_{\sim} : f([x]_{\sim}) \in U \} = \{ [x]_{\sim} : q(x) \in U \}.$$

Let  $p_{\sim} : X \rightarrow X_{\sim}$  be the canonical projection that sends  $x$  to  $[x]_{\sim}$ . Consider  $p_{\sim}^{-1}(\{ [x]_{\sim} : q(x) \in U \}) = \{ x \in X : q(x) \in U \} = q^{-1}(U)$ .  $q^{-1}(U)$  is open in  $X$  because  $q$  is continuous, but by definition of quotient topology this means  $\{ [x]_{\sim} : q(x) \in U \}$  is open. Thus we have shown that  $f$  is continuous. We leave the proof of the continuity of  $f^{-1}$  to the reader. (Just show that  $f$  is open)  $\square$

### 2.3 Properties of quotient spaces

Unfortunately, quotient spaces are quite badly behaved. The first part where they don't play so nice is with the subspace topology. In other words, taking a quotient of a subspace is not the same as taking a subspace of a quotient space. Let  $q : X \rightarrow Y$  be a surjective map. This induces the quotient topology in  $Y$ . Let  $A \subseteq X$  and give  $A$  the subspace topology. Consider  $q|_A : A \rightarrow q[A]$ . There are 2 ways to think about the topology on  $q[A]$ : as a subspace of  $Y$  or as a quotient space of  $A$ . It turns out that these may not be equal.

In the next example, we will see that the restriction of a quotient map down to a subspace may not be a quotient map. See [Lee11, Prob 3-11, p. 82] for a better statement of this result.

**Example 2.7.** Let  $X = [0, 1] \cup [2, 3] \subseteq \mathbb{R}$  with the subspace topology from  $\mathbb{R}$ . Let  $Y = [0, 2]$  with the subspace topology from  $\mathbb{R}$ . Let  $q$  be defined by  $q(x) = x$  if  $x \in [0, 1]$  and  $q(x) = x - 1$  if  $x \in [2, 3]$ . Then  $q$  is a quotient map from  $X$  to  $Y$ .

Now let  $A = [0, 1) \cup [2, 3]$  (notice we are taking the half open interval!) and take  $q|_A : A \rightarrow [0, 2]$ . Consider  $q|_A^{-1}([1, 3/2)) = [2, 3/2 + 1)$ . The set  $[1, 3/2)$  is not open, but it has an open preimage. This prevents  $q|_A$  from being a quotient map as  $q|_A$  is not continuous. //

However, it turns out if  $A \subseteq X$  is open, and it is the preimage of some subset of  $Y$ , then  $q|_A$  is a quotient map. See [Lee11, Prop 3.62, p. 70] for this result.

**Definition 2.8 ( $\sim$ -saturation).** Let  $\sim$  be an equivalence relation on  $X$ . A subset  $A \subseteq X$  is  $\sim$ -saturated if and only if

$$A = \bigcup_{x \in A} [x]_{\sim}.$$

This definition can be alternatively thought of as follows: Let  $p_{\sim} : X \rightarrow X_{\sim}$  be the map that sends an element  $x \in X$  to its equivalence class  $[x]_{\sim}$ . Then  $A \subseteq X$  is  $\sim$ -saturated iff we have  $A = \bigcup_{x \in A} p_{\sim}^{-1}(\{x\})$ . Sometimes, one might see  $p_{\sim}^{-1}(x)$  instead of  $p_{\sim}^{-1}(\{x\})$ . In this case, they mean the same thing. We call the preimage of the singleton  $x$  the **fiber of  $x$** . So in other words, a set  $A$  is  $\sim$ -saturated if and only if it is the union of fibers. See [Lee11, Exercise 3.59 on p. 69] for a useful characterization of a set being saturated.

**Proposition 2.9.** If  $A \subseteq X$  is  $\sim$ -saturated, then  $A_{\sim} \subseteq X_{\sim}$ .

*Proof.* If  $\sim$  is an equivalence relation on  $X$  and  $A \subseteq X$ , then  $\sim$  induces an equivalence relation on  $A$ , call it  $\sim_A$ . This is simply the restriction of  $\sim$  to  $A$ , i.e.  $a \sim_A b \iff a \sim b$ . Then  $[a]_{\sim_A} = [a]_{\sim}$ . Let  $A \subseteq X$  be a subspace and let  $p_{\sim} : A \rightarrow A_{\sim}$ , which is really just  $p_{\sim} : X \rightarrow X_{\sim}$  but restricted.  $\square$

**Theorem 2.10 (Sufficient conditions for the subspace topology to be the quotient topology).** If  $A$  is open (closed) or  $p_{\sim}$  is an open (closed) map, then the subspace topology on  $A_{\sim}$  as a subset of  $X_{\sim}$  is the same as the quotient

topology on  $A_\sim$  induced by  $p_\sim$ .

We additionally encourage the reader to check out [Lee11, Proposition 3.60 on p. 69].

*Proof.* Let  $A_\sim \cap V$  be an open subset of  $A_\sim$  as a subspace of  $X_\sim$ . We need to prove  $A_\sim \cap V$  is open in  $X_\sim$ , which amounts to showing that  $p_\sim^{-1}(A_\sim \cap V)$  is open. Now, since  $A_\sim$  is saturated, we have

$$p_\sim^{-1}(A_\sim \cap V) = p_\sim^{-1}(A_\sim) \cap p_\sim^{-1}(V) = A \cap p_\sim^{-1}(V).$$

Since  $A \cap p_\sim^{-1}(V)$  is open in the subspace topology in  $A$ , this means that  $A_\sim \cap V$  is open in the quotient  $A_\sim$ . Let  $U \subseteq A_\sim$  be open in the quotient topology induced by  $p_\sim|_A: A \rightarrow A_\sim$ . We claim that if  $A$  is open and saturated, then  $A_\sim \subseteq X_\sim$  is also open (proof: exercise). So  $U$  is open in the quotient if and only if  $p_\sim|_A^{-1}(U)$  is open in  $A$ . But notice that  $p_\sim|_A^{-1}(U) = \{x \in X : [x]_\sim \in U\}$ . This is open in  $A$  if and only if it is equal to  $A \cap V$ , where  $V$  is some open subset of  $X$ . Then, we leave the reader to check that

$$U = p_\sim(p_\sim|_A^{-1}(U)) = p_\sim(\{x \in A : [x]_\sim \in U\}) = p_\sim(A \cap V) = A_\sim \cap p_\sim(V).$$

□

We remark that a quotient space of a Hausdorff space may not be Hausdorff.

**Example 2.11.** Let  $X = \mathbb{R}$  and let  $f$  be the sign function be defined by

$$f(x) = \begin{cases} 1 & x > 0 \\ 0 & x = 0 \\ -1 & x < 0 \end{cases}.$$

//

$$\begin{array}{c} \text{sgn} \\ \downarrow \\ \bullet \end{array}$$

**Example 2.12** (Points are closed, but not Hausdorff). Let  $X = \mathbb{R}_K$  (the  $K$ -topology) and define the equivalence relation on  $X$  by  $a \sim b$  if and only if  $a = b$  or  $a, b \in K$ . Then,  $X_\sim$  is not Hausdorff, but points are closed. To see why this is not hausdorff, notice that we cannot find disjoint open neighborhoods of  $[0]_\sim$  and  $[1]_\sim$ . Indeed,  $[0]_\sim = \{0\}$  and  $[1]_\sim = K$ . But any neighborhood of  $[1]_\sim$  must contain all the  $1/n$ 's (by looking at the neighborhood in  $X$ ) and thus contain 0. //

Additionally, products and quotients also do not behave well. If  $Y$  is a quotient space of  $X$ , and  $q: X \rightarrow Y$  is a surjective map, then it may not be true that the product topology on  $Y \times Y$  is the same as the quotient topology induced by  $q \times q$ . That is to say, there is a difference between first putting the quotient topology on  $Y$  using  $q$  and taking the product  $Y \times Y$ , versus putting the quotient topology on  $Y \times Y$  with  $q \times q$ .

**Example 2.13.** We make use of Example 2.12 and the following fact: the diagonal of  $X$ , which is the set  $\Delta_X = \{\langle x, x \rangle : x \in X\}$  is closed in  $X \times X$  if and only if  $X$  is Hausdorff. Let  $q$  be the quotient map which is given by  $\sim$ . It is true that  $\Delta$  is closed in  $X \times X$ , but  $\Delta_{X_\sim}$  is not closed in  $X_\sim \times X_\sim$  as it is not Hausdorff. However,  $(q \times q)^{-1}(\Delta_{X_\sim}) = \Delta_X$ , so  $q \times q$  cannot be a quotient map. //

## References

- [Lee11] John M. Lee. *Introduction to Topological Manifolds*. en. Vol. 202. Graduate Texts in Mathematics. New York, NY: Springer New York, 2011. ISBN: 9781441979391. DOI: [10.1007/978-1-4419-7940-7](https://doi.org/10.1007/978-1-4419-7940-7). URL: <https://link.springer.com/10.1007/978-1-4419-7940-7>.